

SPECIFICATION

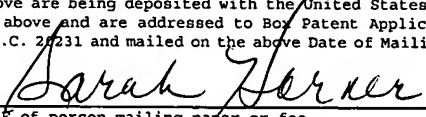
Docket No. 20937.001

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN that I, Harvey E. Svetlik, a citizen of the United States of America, residing in the State of Texas, have invented new and useful improvements in an

MECHANICAL JOINT BELL ADAPTER FOR POLYETHYLENE PIPE

of which the following is a specification:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10	
"Express Mail" mailing label number: EV 125792405 US	
Date of Mailing: December 22, 2003	
I hereby certify that the documents indicated above are being deposited with the United States Postal Service under 37 CFR 1.10 on the date indicated above and are addressed to Box Patent Applications, Assistant Commissioner for Patents, Washington, D.C. 20523 and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.	
<u>Sarah Horner</u> (name of person mailing paper)	<u></u> SIGNATURE of person mailing paper or fee

BACKGROUND OF THE INVENTION

1. Field of the Invention:

The present invention generally relates to the field of pipe connections and to devices used in the pipeline construction industry. More particularly, this invention relates to devices used to join the ends of a polyolefin pipe, such as a polyethylene pipe, to a pipe of a different material such as PVC or ductile iron.

2. Description of the Prior Art:

Typical water pipe systems use rigid pipes such as ductile iron, cast iron, or concrete which mate with fittings such as mechanical joints. While such pipes are advantageously strong, many are very heavy and cumbersome to work with, and in the case of iron suffer from corrosion. In recent years, it has been the practice of many contractors to employ polyolefins such as polyethylene, particularly high density polyethylene (HDPE), and plastics such as polyvinyl chloride (PVC) in plastic pipe used in new construction. However, many of the underground sewer and water distribution pipes, fittings and valves still contain cast iron and ductile iron. Since these dissimilar materials cannot be joined by conventional means, such as brazing, soldering or gluing, so called "mechanical joint" connections and gland restraining devices have been adapted to provide a fluid-tight connection.

Some current gland restrainer systems designed for PVC piping employ mechanical restrainer connections intermittently disposed around the PVC pipe in a gripping fashion. The gland segments of these devices are then bolted together to complete the connection. As a typical example, one system which exists in the market place today for PVC plastic pipe connections is the "CERTA-LOK"™ system sold by CertainTeed Corporation, of Valley Forge, Pennsylvania. This system provides a restrained joint between PVC pipe for municipal, fire protection, and other uses. This system offers certain advantages, since the restraining mechanism is uniformly distributed around the PVC pipe. As a result, the risk of damaging the plastic side walls by localized stress fracture is

1 minimized. However, there has been no similar technique for joining sections of pipe of dissimilar
2 materials together where the pipe in question are polyolefins such as polyethylene, HDPE.

3
4 In some situations, HDPE offers advantages over the use of PVC as a pipe material. For example,
5 the PVC pipe may crack when flexed. The flexible polyolefin-type plastic pipes, including HDPE,
6 are lightweight, easy to work with, corrosion resistant and can be fused together at the joint to form
7 a continuous pipe to thereby minimize leakage. Polyolefin pipe, however, also suffers from certain
8 drawbacks that have impeded its widespread use in water pipe systems and similar fluid transport
9 systems.

10
11 As in the case of PVC pipe, the task of mechanically mating the end of a polyolefin pipe, such as
12 HDPE, to some of the other members in a water system, and particularly to metal mechanical joints,
13 presents significant problems. This is due, in part, to the cold flow properties of the polyolefin-type
14 plastic. In some cases, the ends of such pipes tend to deform under pressure leading to an inadequate
15 seal at the mechanical connection. Moreover, the pipe ends may work loose from the mechanical
16 joint due, for example, to the greater expansion/contraction rate of that type of plastic as compared
17 to other more rigid pipes. In such a case, the connection pulls apart.

18
19 One attempted solution to the above noted problems has been to stiffen the pipe end so that it will
20 neither deform under pressure nor work loose from the joint. One approach to solving this problem
21 involves force fitting a rigid tube such as a steel tube inside the polyolefin pipe at the pipe end in
22 sealing engagement with the inner diameter of the plastic pipe wall. The rigid tube act as a stiffener
23 at the pipe end. And while the stiffening tube is believed to provide better resistance to pipe end
24 deformation, the polyolefin pipe end may still come loose in use. Other attempted solutions to the
25 problems of joining polyolefin pipe to pipes of different materials have involved complicated
26 mechanical arrangements with a number of parts which were often difficult and cumbersome to install.

27
28 A need exists therefore, for an improved system for mechanically coupling polyolefin water pipes to
29 pipes of different material such as cast iron or ductile iron pipes.

1 A need also exists for a female or bell mechanical joint adapter for permitting the assembly of fluid-
2 type fittings containing multiple materials, such as HDPE, PVC and cast and ductile iron.

3
4 There also remains a need for providing an inexpensive mechanical bell joint adapter for joining
5 HDPE to ductile or cast iron or PVC which can be field-assembled with little chance of error and with
6 a minimum amount of assembly time.
7

SUMMARY OF THE INVENTION

The pipe coupling of the invention is used for coupling adjacent ends of a pair of pipe sections where one of the pipe sections is formed of a polyolefin and the other pipe section is formed of a different material. The coupling includes an adapter formed of a length of pipe having a polyolefin pipe wall, the adapter having a fusing end for fusing to a successive length of polyolefin pipe and a coupling end. The coupling end of the adapter has a nominal thickness pipe wall with an integral flange formed at one end thereof. The integral flange defines a bell end opening for the adapter. The bell end opening has a first region of reduced internal diameter for receiving a sealing gasket therein. The bell end opening also has a second region of further reduced internal diameter which forms a circumferential shoulder region therein for receiving a male spigot end of a mating pipe which is formed of the different material.

The adapter integral flange has a front face, a rear face and an outer peripheral surface. A rigid reinforcing ring circumscribes the outer peripheral surface in order to strengthen the connection when the spigot end of a mating male pipe is inserted within the bell end opening of the adapter to form the pipe coupling. Preferably, the length of pipe having the polyolefin wall is formed of polyethylene. The length of pipe of a different material may be formed of such materials as PVC, ductile iron, cast iron and steel.

The adapter as described above can be used to form a pipe joint between pipes of dissimilar materials. This allows a first section of pipe having a polyolefin pipe wall and a second section of pipe of a different material to be joined together. A sealing gasket is installed within the first region of reduced internal diameter of the integral flange. A male spigot pipe end of the second section of pipe is then inserted within the bell end opening and engages the circumferential shoulder region of the belled end opening to thereby form a sealed pipe joint. If desired, a mechanical restraint system can be used to engage an external surface of the second section of pipe and the front face and rear face of the integral flange, respectively, in order to prevent the second section of pipe from pulling away from the bell end opening of the integral flange.

- 1 Additional objects, features and advantages will be apparent in the written description which follows.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is an exploded view of the adapter of the invention.

Figure 2 is a perspective view of a pipe joint made using the adapter of the invention.

Figure 3 is a side, cross sectional view of the bell end opening of the adapter of the invention showing the male spigot pipe end being inserted into the end opening.

Figure 4 is a view similar to Figure 3 but also showing a mechanical restraint system used with the adapter of the invention to make up a pipe joint of dissimilar pipe materials.

DETAILED DESCRIPTION OF THE INVENTION

It is well known in the art to extrude plastic pipes in an elongated cylindrical configuration of a desired diameter and to then cut the extruded product into individual lengths of convenient size suitable for handling, shipping and installing. By "plastic" is meant a section of pipe formed from a convenient polyolefin or polyolefin derivative such as polypropylene, polyethylene or polyvinylchloride (PVC). Each length of pipe is enlarged or "belled" at one end sufficiently to join the next adjacent pipe section by receiving in the belled end the unenlarged or "spigot" end of the next adjacent length of pipe within the bell end opening. The inside diameter of the bell is formed sufficiently large to receive the spigot end of the next section of pipe with sufficient clearance to allow the application of an elastomeric gasket or other sealing device designed to prevent leakage at pipe joints when a plurality of pipe lengths are joined to form a pipeline.

Plastic pipes of the above type have, for many years, been joined by utilizing an elastomeric gasket which is compressed between the inside walls of the bell and the outside wall of the plain or beveled end of the spigot end of the next pipe in a series of telescoped pipes. The gasket is typically retained within a groove provided in the bell end opening of the female pipe section. One problem which exists, however, is finding a way to "restrain" the assembled pipe joint so that the joint will not separate due to internal or external pressure, or due to environmental factors such as earth movement.

As mentioned in the background discussion of the invention, the iron pipe industry has addressed the problem of providing a restrained pipe joint by utilizing a sealing "gland" and fitting, sometimes referred to as a "mechanical joint" or simply as an "MJ". The bell end of an iron pipe section has a flanged portion cast on it. The spigot end of a second iron pipe is fitted with a slidable gland fitting and a gasket that is conically shaped. The conically shaped gasket is positioned between the gland fitting and the spigot end of the pipe. The gland fitting has a plurality of apertures for receiving standard bolts. The joint is formed when the spigot is axially inserted into the bell, and the gland fitting and the flanged portion are bolted together, causing the lip of the gland fitting to compress the gasket thus sealing the two sections of pipe.

1 Because of the different materials of plastic pipe systems and cast iron pipe systems, the sealing
2 components utilized must be designed differently. The restraining mechanism employed will differ
3 in the plastic pipe system, primarily due to the fact that the plastic pipe can be "scored" or crushed
4 by the restraining mechanism if improper stresses are exerted during the joint assembly or during use.
5 This is not generally a problem in the case of cast iron pipe, because of the difference in material
6 making up the pipe itself.

7
8 In the discussion which follows, the terms "plastic", "polyolefin", "vinyl compound" and "polyvinyl
9 chloride" (PVC) will have particular meanings which are taken from common usage in the relevant
10 pipe joining industry. The term "polyolefin" is intended to encompass that family of materials such
11 as polyethylene, $H_2C = CH_2$ which is produced commercially by cracking petroleum fractions.
12 Although the present invention may deal with any of the low density (0.910 to 0.925 g per cc), high
13 density (0.942 to 0.965 g per cc) and medium density (MDPE) polyethylenes, the preferred material
14 is high density polyethylene, HDPE. The term "vinyl compound" is intended to encompass that family
15 of the vinyl compounds having the general formula $H_2C = CH_x$, where X may be hydrogen, an alkyl
16 group, an aryl group, or a negative atom or group, such a halogen, hydroxy, or acetate. However,
17 the preferred material for purposes of the present invention is polyvinyl chloride, PVC.

18
19 In the present discussion, while both HDPE and PVC might be considered "plastics", PVC will be
20 referred to as being a "plastic" while the terms HDPE and MDPE will be used to describe particularly
21 preferred "polyolefins." When the specification refers to a material as being a "different material" from
22 HDPE, the different material will be a material such as PVC, ductile iron, cast iron, steel, etc.

23
24 Turning first to Figure 2, there is shown a pipe coupling 11 which couples adjacent ends 13, 15 of
25 a pair of pipe sections where one of the pipe sections is formed of a polyolefin and the other pipe
26 section is formed of a different material. In the example shown in Figures 1 and 2, the pipe end 13
27 is formed of polyethylene, preferably HDPE, and the opposite pipe end 15 is formed of ductile iron
28 or PVC. As shown in Figure 1, an adapter 17 is used to form the pipe coupling 11. The adapter 117

allows the section of polyolefin pipe 13 to be joined to the section of ductile iron pipe or PVC pipe 15 to form the pipe coupling.

The adapter 17 is formed of a length of pipe having a polyolefin pipe wall 19 which, in this case, is HDPE. The adapter 17 has a fusing or joining end 21 for joining the adapter to a successive length of polyolefin pipe 13, as by butt fusing using known commercially available technology. The adapter 17 also has a coupling end 23. The coupling end 23 has a nominal pipe wall (as at 25 in Figure 1) with an integral flange formed at one end thereof.

The integral flange of the adapter 17 defines a bell end opening 27 for the adapter. The bell end opening 27 forms a first region of reduced internal diameter 29 for receiving a sealing gasket 31. The sealing gasket 31 is formed of rubber or a rubber like material and is commercially available from a number of sources as a "standard MJ gasket." For example, such a gasket is commercially available from Independent Pipe Products of Grand Prairie, Texas. The gasket 31 has a generally conical profile, as view in Figure 1.

The integral flange of the adapter 17 also has a second region of reduced internal diameter 33 which forms a circumferential shoulder region (generally at 25) for receiving a male spigot end of the pipe section 15 when the mating male pipe section is inserted within the bell end opening 27. The pipe section 15, as previously noted, is made of a "different material" such as ductile iron or PVC.

The integral flange of the adapter 17 also has a front face 35, a rear face 37 and an outer peripheral surface 39. As shown in Figures 3 and 4, a rigid reinforcing ring 41 circumscribes the outer peripheral surface 39 in order to strengthen the connection when the spigot end of the mating male pipe is inserted within the bell end opening of the adapter to form a pipe coupling. The rigid reinforcing ring can be formed of a variety of metals or even hard plastics. However, the ring 41 is preferably formed of stainless steel.

The adapter with its integral flange can be injection molded or machined, as from a solid or hollow block of the polyolefin. Figure 4 and the table which follow give typical dimensions for industry standard pipe sizes in the 2" to 12" range. The thickness of the integral flange is also limited by the internal diameter of a ring of cross bolts used in the mechanical restraint system, as will be further described.

TABLE I

Nominal Size IPS & DIPS	D1 IPS	D1 DIPS	D2	D3	OAL
2"	2.38	2.50	4.00	2.51	8.00
3"	3.50	3.96	5.37	3.97	8.00
4"	4.50	4.80	6.63	4.81	8.00
6"	6.63	6.90	8.63	6.92	10.00
8"	8.63	9.05	10.75	9.08	11.00
10"	10.75	11.10	12.75	11.13	11.00
12"	12.75	13.20	15.30	13.24	13.00

As shown in Figures 3 and 4, the pipe joint of the invention can further include a mechanical restraint system which engages an external surface (as at 43 in Figure 4) of the second section of pipe of the different material and the front and rear faces 35, 37 of the integral flange, respectively, in order to prevent the second section of pipe from pulling away from the bell end opening of the integral flange. In the embodiment of the invention illustrated in Figures 3 and 4, the mechanical restraint system includes a gland ring 45 and a cooperating restraining gland 47 which are connected by a series of cross bolts 49. Tightening the cross bolts 49 causes force to be exerted on the respective contact faces 51, 53 of the mechanical restraint in order to compress the sealing gasket 31 and prevent separation of the joint. The restraining gland 47 has a series of circumferential holes which receive the restraining screws 55. Screws 55 have lower ends 57 which, in this case, bite into the external surface of a PVC pipe.

1 An invention has been provided with several advantages. The mechanical joint bell adapter and pipe
2 joint using the adapter significantly simplify the assembly of a section of polyolefin pipe to a section
3 of pipe of a dissimilar material. The integral flange, which is formed of the same material as the
4 remainder of the adapter body, eliminates additional gaskets and gland rings which were required in
5 the past. The rigid reinforcing ring which circumscribes the integral flanges supports the additional
6 radial load needed to keep the polyolefin from bulging and to prevent the gasket from being extruded
7 from the coupling. The reinforcing ring allows the coupling to develop the full gasket load for the
8 connection.

9
10
11 While the invention has been shown in only one of its forms, it is not thus limited but is susceptible
12 to various changes and modifications without departing from the spirit thereof.